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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/037,160	12/20/2001	Katsushige Hata	112857-308	5620
29175	7590	07/19/2004	EXAMINER	
BELL, BOYD & LLOYD, LLC P. O. BOX 1135 CHICAGO, IL 60690-1135			NGUYEN, CAO H	
			ART UNIT	PAPER NUMBER
			2173	

DATE MAILED: 07/19/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

# Office Action Summary

Application No.

10/037,160

Applicant(s)

HATA ET AL.  
JOST, HARRY

Examiner

Cao (Kevin) Nguyen

Art Unit

2173

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on 20 December 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

## Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

## Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date \_\_\_\_\_
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_

## DETAILED ACTION

### *Claim Rejections - 35 USC § 102*

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-15 are rejected under 35 U.S.C. 102(b) as being anticipated by Liles et al.(US Patent No. 5,880,731).

Regarding claim 1, Liles discloses an information processing device, comprising:  
a manager for managing data on feeling expressions of an avatar which correspond to a user's conditions [..Textual messages transmitted to the other participants can indicate what the user is saying or thinking, and alternatively, can indicate a related action or emotional condition of the user.; see col. 10, lines 1-32]; a storage area for storing data on image displays of the avatar which correspond to the feeling expressions [..The visual frames portray different views of an avatar to produce a visual impression of an animation of the avatar when rapidly displayed in the sequence. In the script for each gesture, specific visual frames comprising the sequence and time intervals determining a duration for displaying each visual frame of the sequence are indicated.; see col. 3, lines 32-67]; and a display controller for controlling the image displays of the avatars based on the data stored in the storage area [...Each of the avatars that are presented to a user for selection in character selection dialog box 70 corresponds to a

different bitmap file. Each of the bitmap files contains a predefined number of frames that represent the avatar in different poses and/or emotional states.; see col. 7, lines 43-65].

Regarding claim 2, Liles discloses, wherein the avatar exists in a virtual space constructed on a network, and the data on the feeling expressions are set when the user enters the virtual space (see figures 5-8).

Regarding claim 3, Liles discloses wherein the data managed by the manager are set in accordance with information of the user, the information being at least one of living body information and variation of expressions (see col.. 10, lines 1-32).

Regarding claim 4, Liles discloses further comprising a voice controller for controlling a tone of voices uttered from the avatar based on the data stored in the storage area (see col. 12, lines 34-49).

Regarding claim 5, Liles discloses manager manages the data on the feeling expressions based on a table in which types of feelings and levels thereof are associated with one another (see col. 13, lines 50-67).

Regarding claim 6, Liles discloses wherein the display controller controls a display including a motion picture of the avatar (see col. 14, lines 15-67).

Regarding claim 7, Liles discloses method for enabling a plurality of users to participate as respective avatars in a virtual space constructed on a network and to have

Art Unit: 2173

conversations with other users, the method comprising the steps of managing data on feeling expressions of an avatar which correspond to a user's conditions; controlling storage of data on image displays of the avatar which correspond to the feeling expressions, and controlling the image displays of the avatar based on the storage of data on the image displays (see figures 1-9).

Regarding claim 8, Liles discloses further comprising the step of controlling the data on the feeling expressions of the avatar based on voices uttered by the avatar (see col. 15, lines 12-65).

As claims 9-15 are analyzed as previously discussed with respect to claims 1-8 above.

### *Conclusion*

3. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. (see PTO-892).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Cao (Kevin) Nguyen whose telephone number is 703-305-3972. The examiner can normally be reached on M-F: 9:00AM-6:00PM.

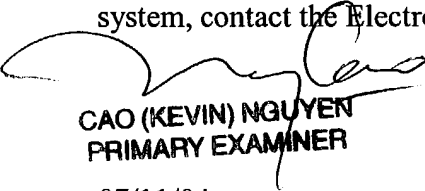
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Cabeca can be reached on 703-308-3116. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Application/Control Number: 10/037,160

Page 5

Art Unit: 2173

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



CAO (KEVIN) NGUYEN  
PRIMARY EXAMINER

07/11/04